Dissociative identity disorder: An empirical overview

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Abstract

Objective: Despite its long and auspicious place in the history of psychiatry, dissociative identity disorder (DID) has been associated with controversy. This paper aims to examine the empirical data related to DID and outline the contextual challenges to its scientific investigation.

Methods: The overview is limited to DID-specific research in which one or more of the following conditions are met: (i) a sample of participants with DID was systematically investigated, (ii) psychometrically-sound measures were utilised, (iii) comparisons were made with other samples, (iv) DID was differentiated from other disorders, including other dissociative disorders, (v) extraneous variables were controlled or (vi) DID diagnosis was confirmed. Following an examination of challenges to research, data are organised around the validity and phenomenology of DID, its aetiology and epidemiology, the neurobiological and cognitive correlates of the disorder, and finally its treatment.

Results: DID was found to be a complex yet valid disorder across a range of markers. It can be accurately discriminated from other disorders, especially when structured diagnostic interviews assess identity alterations and amnesia. DID is aetiologically associated with a complex combination of developmental and cultural factors, including severe childhood relational trauma. The prevalence of DID appears highest in emergency psychiatric settings and affects approximately 1% of the general population. Psychobiological studies are beginning to identify clear correlates of DID associated with diverse brain areas and cognitive functions. They are also providing an understanding of the potential metacognitive origins of amnesia. Phase-oriented empirically-guided treatments are emerging for DID.

Conclusions: The empirical literature on DID is accumulating, although some areas remain under-investigated. Existing data show DID as a complex, valid and not uncommon disorder, associated with developmental and cultural variables, that is amenable to psychotherapeutic intervention.

Keywords
Dissociative identity disorder, validity, phenomenology, aetiology, psychobiology, treatment

Introduction

Dissociative identity disorder (DID) has an auspicious place in the archives of psychiatry. It captured the attention of many of the great 19th and early 20th century thinkers, whose ideas form the foundation of modern psychiatric thought (James, 1896 [see Taylor, 1983], Janet, 1907; Prince, 1905). More recently DID has become the subject of considerable debate (e.g. Dalenberg et al., 2012; Gleaves, 1996; McHugh and Putnam, 1995; Merskey, 1992), especially around its validity, aetiology and prevalence. Often overlooked is the empirical understanding of DID accrued over 30 years, and which

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Australian & New Zealand Journal of Psychiatry, 48(5)
began in earnest with the adoption of DID (then referred to as multiple personality disorder) as a discrete diagnostic entity in the third edition of the Diagnostic and Statistical Manual of Mental Disorders (DSM-III; American Psychiatric Association, 1980). The accumulation of empirical knowledge paints a clear and consistent picture of DID.

This overview is designed to provide a current ‘broad brush’ outline of the scientific foundation of DID by focusing on DID-specific research. Thus the overview excludes opinion pieces and papers without DID data and is confined to studies identified in searches of major psychological (e.g. PsycINFO) and psychiatric databases (e.g. MEDLINE) which investigated individuals with DID where one or more of the following conditions were met: (i) a sample of participants with DID was systematically investigated, (ii) psychometrically-sound measures were utilised, (iii) comparisons were made with other samples, (iv) DID was differentiated from other disorders, including other dissociative disorders, (v) extraneous variables were controlled or (vi) DID diagnosis was confirmed (e.g. with structured interview). Sociological and contextual issues, especially with reference to the scientific study of DID, are explored.

We have chosen to limit the current overview to empirical research on DID, thereby bypassing a wide literature on dissociation and dissociative disorders. This literature provides abundant, consistent evidence that dissociative experiences, symptoms and disorders exist throughout the world (Spiegel et al., 2013). Stein et al. (2013) found dissociative symptoms among 14.4% of individuals with post-traumatic stress disorder (PTSD) from a sample of 25,018 respondents from 16 different countries. In a review of the cross-cultural assessment of dissociation, Lewis-Fernández et al. (2007) provide extensive evidence that reliably assessed dissociative symptoms and disorders are found in many different countries.

Limiting the overview to DID data precludes important discussions about the commonalities among the dissociative disorders and the conceptual nature of dissociation (e.g. whether it is best conceived as a continuum, as a set of discrete categories or as a combination of these). Recent reviews examine these and other relevant issues (e.g. Dalenberg et al., 2012; Spiegel et al., 2013). Our aim is to provide an up-to-date overview of scientific evidence about DID by reviewing the most compelling research in a variety of areas, including DID’s construct validity, aetiology, prevalence, psychobiological and cognitive foundations, and treatment. Challenges in the empirical investigation of DID will first be considered, to provide the contextual landscape for the work that follows. Each section might fruitfully be reviewed in depth in future work following this broad, orienting data-driven overview.

**Contextual challenges in empirical investigation of DID**

Research on DID is constrained by obstacles atypical for those of other psychiatric disorders. The impediments cover five areas: diagnostic concerns, cultural issues, post-traumatic avoidance, cost-benefit issues and conceptual challenges.

**Diagnostic concerns**

DID is only weakly represented in the 10th edition of the International Classification of Diseases (ICD-10; among ‘other’ dissociative disorders). In DSM-5 it is more fully elaborated. The discrepancy of definition hampers international research efforts. DID patients usually present a plethora of diverse symptoms in addition to core diagnostic features (Şar and Ross, 2006; see ‘Construct validity’, below). This polysymptomatic profile may obscure DID unless dissociative symptoms are systematically assessed. Because major general psychiatric diagnostic instruments used in epidemiological and clinical research (e.g. the Structured Clinical Interview for DSM-IV [SCID] and the Composite International Diagnostic Interview [CIDI]) lack a dissociative disorders (DD) section (Şar and Ross, 2009), DID is repeatedly under-researched. However, many researchers outside the field of dissociation are now including questions about dissociation, which may lead to increased assessment for DD, including DID. Adding DID symptoms to existing diagnostic and screening tools, and developing shorter diagnostic instruments specific for DID is crucial.

**Cultural issues**

Cultural variation in the clinical manifestation of DID remains under-researched (see ‘Aetiological pathways and influences in the development of DID’, below). Challenges that have contributed to the paucity of cross-cultural research include lack of uniformity between international diagnostic classifications (ICD and DSM), and the difficulty of assessing for diverse modes of dissociative self-representation (e.g. different idioms in different regions can preclude meaningful comparative research).

A further contextual challenge to DID research pertains to the varying nature of identity across cultures, that ‘identity’ per se may not be unified, and that ‘self’ is constructed as more relational in some contexts and cultures than in others (Castillo, 1997). Whereas the ‘Western’ conception of self emphasises autonomy, DID challenges the notion of identity as fixed, unitary and autonomous. Thus it is not surprising that identity-related cultural differences complicate comparative DID research.

**Post-traumatic avoidance**

DID is consistently linked to childhood relational trauma (see ‘Aetiological pathways and influences in the development of DID’, below) and post-traumatic avoidance operates at several levels, both individually and socially. Many
DID patients are conditioned ‘not to tell’ of their trauma, which pertains to intra-psychic factors (self-denial, shame), threats from perpetrators, and/or experiences of being disbelieved. This reticence may hinder their participation in research studies.

A major challenge in researching DID relates to the reaction of the human mind when confronted with terrible, unspeakable events directed at children – that is, defensive denial of their occurrence or minimisation of their severity. Such denial, to which researchers, clinicians and policy makers are also subject (Herman, 1992), sabotages understanding and effective treatment of the impact of such events on victims.

The abuse of adult power over children (which violates the central societal norm of protection of the young) calls into question such mainstream social institutions as the family and other organisations which ostensibly operate in the provision of care. Because the aetiology of DID is associated with childhood relational trauma, the discomfort caused by studying DID may serve as a potent disincentive to its investigation. Thus avoiding study of DID protects mainstream social institutions – at the expense of the children who are violated by them – as well as enabling researchers, clinicians and the public to retain a comforting representation of complex dimensions of subjectivity: ‘At issue here are core questions about what constitutes the appropriate data upon which to base our understandings of mental life’ (Hornstein, 2013:31).

**Validity and phenomenology of DID**

The validity of psychiatric disorders is established by demonstrating content validity (i.e. a consistent, detailed clinical presentation found by independent researchers), criterion validity (i.e. data from laboratory, psychological and neurobiological tests must be consistent with the clinical presentation), and construct validity (the disorder can be distinguished from other disorders and from simulation; Robins and Guze, 1970). Data support all three types of validity for DID (Gleaves et al., 2001).

**Content validity: Repeated, detailed, independently-observed clinical presentation**

The dissociative symptoms of identity confusion, identity alteration and amnesia form the core symptoms differentiating DID from other disorders in DSM-5, with only the latter two required in ICD-10 (APA, 2013; World Health Organization, 1993). While common among individuals with DID, derealisation and depersonalisation are not required for the diagnosis (APA, 2013; Steinberg, 1994a). Researchers from Asia, North and South America, Europe and Australia have found these five dissociative symptoms typically present in DID, often at severe levels (e.g. Boon and Draijer, 1993a; Gingrich, 2009; Martínez-Taboas, 1991; Middleton and Butler, 1998; see ‘Construct validity’ section for further discussion). The consistent clinical picture across cultures and research laboratories supports the content validity of DID.

**Criterion validity: Consistency across multiple methods of assessment**

The structured clinical interviews for diagnosing DID show inter-rater reliability rates that are as high, and generally higher, than those for other psychiatric disorders (e.g. 0.80 or higher for the Structured Clinical Interview for DSM-IV dissociative disorders [SCID-D; Steinberg et al., 1990; see Gleaves et al., 2001]). The SCID-D/SCID-D-Revised (Steinberg, 1994a,b; Steinberg et al., 1990) assesses five categories of dissociative symptoms (identity confusion, identity alteration, amnesia, depersonalisation, derealisation) and
allows diagnosis of DID. For example, Dutch researchers using the SCID-D found excellent inter-rater reliability for symptom severity scores as well as the presence of a DD, including DID (weighted kappas ranging from 0.85 to 0.98; Friedl and Draijer, 2000). Scientists in many countries have found the SCID-D effective in detecting DID (e.g. Gingrich, 2009; Mueller-Pfeiffer et al., 2013; Ross et al., 2002).

Another structured interview, the Dissociative Disorders Interview Schedule (DDIS; Ross et al., 1989) assesses the symptoms of the five DSM-IV dissociative disorders, and has good reliability and validity. For example, in detecting DID and dissociative disorder not otherwise specified (DDNOS), the DDIS shows good inter-rater reliability with a clinical interview (kappa = 0.71), the self-report Dissociative Experiences Scale (DES; Bernstein and Putnam, 1986) taxon (i.e. an empirically-derived subscale that distinguishes individuals with a high probability of having a DD from those with other disorders and controls; kappa = 0.81 [Waller et al., 1996]), and the SCID-D (kappa = 0.74; Ross et al., 2002).

The DES is an effective screening tool for DID and DDNOS-I (a presentation with dissociative identities but without amnesia), although there appear to be cultural differences in the most effective cut-off scores for adequate sensitivity and specificity (Mueller-Pfeiffer et al., 2013). This may be due to differences in reporting and experiencing dissociation in different countries, and differences in translated versions of the DES and research methodology (Mueller-Pfeiffer et al., 2013). Such findings highlight the importance of determining dissociation scale norms within specific cultural settings.

**Construct validity – discriminant type:**

**distinctiveness from other disorders**

DID can be distinguished accurately from other psychiatric disorders and non-patients using structured interviews and self-report measures of dissociation. Two core symptoms (identity alteration, amnesia) differentiate DID from other disorders (Steinberg, 1994a). So, too, does the combined frequency of other dissociative symptoms, including identity confusion, depersonalisation/derealisation, and somatoform dissociation (Dell, 2006; Nijenhuis et al., 1999).

The dissociative symptoms in DID and DDNOS appear to be qualitatively different (e.g. identity alteration, amnesia) from other kinds of dissociation (Putnam et al., 1996; Rodewald et al., 2011a). This suggests that assessing a range of dissociative symptoms facilitates differential diagnosis. The core symptoms of DID (identity alteration, amnesia) contribute considerably to detriments in global functioning beyond other dissociative symptoms (e.g. depersonalisation) and axis I symptoms (Mueller-Pfeiffer et al., 2012).

The severity and breadth of multiple dissociative symptoms, particularly the pathognomonic symptoms of identity alteration and amnesia, are characteristic of DID. Despite these classic indicators of DID, multiple covert dissociative (e.g. flashbacks, auditory hallucinations) and non-dissociative (e.g. affective instability) symptoms may obscure from clinical view the true nature of the pathology, thereby delaying accurate diagnosis of DID (Rodewald et al., 2011b; Ross and Ness, 2010; Ross et al., 1990a). Research shows, however, that careful assessment of the range of dissociative symptoms can accurately distinguish DID.

Psychotic and DD show symptoms that resemble each other, including most of the Schneiderian symptoms (Kluff, 1987; Ross et al., 1990a; Welburn et al., 2003). For example, studies show that individuals with DID have auditory hallucinations emanating from both inside and outside the head, not unlike in schizophrenia (Dorahy et al., 2009; Honig et al., 1998). Yet patients with DID are more likely to hear more than two voices, including those of children and adults, beginning before 18 years of age (Dorahy et al., 2009).

DID patients do not have true delusions (e.g. they tend not to endorse delusional perception; Kluff, 1987). Patients with DD or allied forms of DDNOS have better cognitive insight than patients with schizophrenia, and similar levels compared to those with obsessive-compulsive disorder or depression (Şar et al., 2012). Dissociative patients also have self-reflective capacities indicating cognitive insight in the non-psychotic range (Brand et al., 2009a; Şar et al., 2012).

Patients with DID may decompensate to a dissociative psychosis as a transient crisis state which may be confused with schizophrenia (Tutkun et al., 1996). Patients with such a dissociative (formerly called hysterical) psychosis (Van der Hart et al., 1993) may appear functionally ‘psychotic’ due to temporarily poor reality-testing and disorganised behaviour. The aetiology of the process is post-traumatic and dissociative (e.g. post-traumatic content may manifest in hallucinatory symptoms; Şar and Öztürk, 2009). On the other hand, some patients with a schizophrenic disorder may present with symptoms associated with DID, thereby fitting the proposed dissociative subtype of schizophrenia (Ross, 2004). Alternatively, this presentation may be due to comorbidity between schizophrenia and DID among traumatised individuals (Şar et al., 2010).

No study to date has found DID without multiple non-dissociative comorbid psychopathology (e.g. Boon and Draijer, 1993a; Mueller-Pfeiffer et al., 2012; Rodewald et al., 2011b). Depression and associated symptoms (lability, suicidal ideation) are among the most frequent (e.g. Ellason et al., 1996; Middleton and Butler, 1998). PTSD is present in the majority of cases (e.g. Boon and Draijer, 1993a; Middleton and Butler, 1998; Vermotten et al., 2006). Of the anxiety disorders, panic disorder is the most common and generalised anxiety disorder is the least common (Rodewald et al., 2011b). Increased comorbid anxiety disorders may differentiate DID from other conditions, including borderline personality disorder (BPD) and schizophrenia (Fink and Golinoff, 1990).
Self-harm and substance abuse are typically found in over 50% of people with DID (e.g. Boon and Draijer, 1993a; McDowell et al., 1999). Over a third have eating or somatoform disorders (Ellason et al., 1996). BPD is the most common personality disorder, and is typically present in between a half and two-thirds of cases (Ellason et al., 1996; Horovitz and Braun, 1984; Middleton and Butler, 1998), with some studies reporting higher rates (Lipsanen et al., 2004; Şar et al., 2003). Crisis states prompting emergency service visits in DID include self-mutilation, flashbacks, non-epileptic seizures and suicide attempts. They also include acute episodes of mixed dissociative and psychotic symptoms characterised by a ‘revolving door’ (rapid switching among identities) or ‘co-consciousness’ (temporarary breakdown of internal dissociative barriers) crises (Tutkun et al., 1996). The interplay between psychotic and dissociative processes requires further empirical investigation in these crisis episodes.

This complex clinical picture complicates assessment and diagnosis. The empirical phenomenological literature (which can be used to assess discriminate validity) suggests that dissociative symptoms, as measured by instruments such as the SCID-D or the DDIS, differentiate DID from other disorders (e.g. Ross et al., 1989; Welburn et al., 2003). While individuals with DID present a multifaceted symptom profile that goes beyond the dissociative domain, neither personality measures (e.g. Minnesota Multiphasic Personality Inventory-2 [MMPI-2]; Millon Clinical Multiaxial Inventory-III) nor non-dissociative symptom measures reliably differentiate DID from other disorders (e.g. Kemp et al., 1988; Welburn et al., 2003). One exception in the personality domain, however, may be projective tests (e.g. Rorschach) which detect some differences, including those with DID having a greater capacity to develop a working therapeutic alliance (e.g. Brand et al., 2009a).

Some psychiatric patients consciously or unconsciously imitate DID (Draijer and Boon, 1999). Thus an important method of establishing construct validity is determining whether simulators who are knowledgeable about a disorder can imitate it on psychological and neurobiological tests. A growing evidence base using both types of tests indicates that genuine DID can be distinguished from feigned (i.e. malingered, factitious or simulated) DID. The dissociative interviews show the most utility in this differential diagnosis, although some personality tests are also useful. Most self-report measures of dissociation are not effective for this purpose because they do not have validity scales. The SCID-D is effective in distinguishing genuine DID from malingered and factitious DID (Draijer and Boon, 1999; Friedl and Draijer, 2000). Welburn and colleagues (2003) found a 0% false positive rate in distinguishing feigned DID from DID patients using the SCID-D-R.

Psychological tests often include ‘fake bad’ validity scales that consist of items typically endorsed by individuals who are exaggerating symptoms of mental illness. However, research shows that many such validity scales contain items characteristic of the symptoms experienced by traumatised individuals, including those with DID. Thus, paradoxically, they may be endorsed by individuals who are not feigning or exaggerating mental illness. For example, a study compared the MMPI-2 profiles (Butcher et al., 2001) of 53 DID patients with 67 uncoached and 77 coached DID simulators. Monetary awards were given to those who best feigned DID following hours of training about DID, including media and internet information about the disorder (Brand and Chasson, 2014). The DID group’s scores were more extreme than many psychiatric groups’ scores on validity and clinical scales, but they were not more extreme than those found among PTSD or child sexual abuse groups. Furthermore, the direction of the correlations between dissociation scores and the MMPI-2 validity and clinical scales were in the opposite direction for the simulators compared to the DID group for 15 out of 18 correlations conducted.

The researchers concluded that the DID group’s elevations on the validity scales stemmed from their endorsement of dissociative and trauma-related items (which are mistakenly included on these scales). For example, one ‘fake bad’ validity item, in abbreviated form, asks participants whether they ‘Sometimes do things and don’t remember doing them’ (i.e. dissociative amnesia typical of DID). Another inquires about whether individuals ‘Feel things aren’t real’ (i.e. derealisation) (MMPI®-2 Booklet of Abbreviated Items)4. Despite these problems with the test’s items, in a discriminant function analysis, 83.0% of simulators and 86.0% of the DID cases were correctly classified on the MMPI-2 (Brand and Chasson, 2014). That is, despite media exposure, training and incentives, the feigners still could not accurately imitate DID.

Studies using a well-established forensic interview for assessing feigned mental illness, the Structured Interview of Reported Symptoms (SIRS or SIRS-2; Rogers et al., 2010) indicate that if a Trauma Index is used, feigners can be distinguished from DID patients with overall diagnostic power (ODP) as high as 83.3 (Brand et al., 2006, 2014). The Trauma Index is an empirically-derived index of subscales that accurately classifies severely traumatised individuals, because unlike some SIRS/SIRS-2 subscales, its subscales do not include dissociative and trauma-related items. Without the Trauma Index, the overall utility of the SIRS/SIRS-2 is lower (i.e. ODP = 58.7–81.0; Brand et al., 2014). Dissociative items are often included on other tests’ validity and clinical scales, including the Personality Assessment Inventory’s (PAI) NIM scale (a so-called ‘fake bad’ scale; Morey, 1991). Thus it is not surprising that DID individuals show elevated ratings on validity scales that include dissociative items. Yet DID individuals do not elevate on the PAI’s validity subscales that do not include dissociative, trauma-related items (Brand et al., 2013).
DID is intrinsically related to experiences of self and personhood. This point is of particular importance, because Western views of the person emphasise a conception of self as separate, autonomous, self-contained and independent (Cross and Markus, 1999). In a recent review of the role of culture in construction/s of self, Markus and Kitayama (2010) assert that selves actively engage in a dynamic process in which they influence and are influenced by their socio-cultural contexts. Western preoccupation with individualism leads to experiences of self as separate or independent from those of others. In contrast, non-Western societies tend to endorse an interdependent self, which fosters experiences of self as entwined with the expectations and needs of others.

The cultural construction of self means that DID – essentially a dysfunction of self – must be understood as a response to overwhelming, usually traumatic, experiences that are necessarily shaped by cultural norms and behavioural repertoires of the context in which it occurs. In African, Asian and other non-Western countries – where social constructions of self are relatively porous to influences external to the person – DID usually takes the form of pathological possession experiences which are more congruent with a conception of self as not separate or individual (Cardena et al., 2009). Thus research in India (Chaturvedi et al., 2010), Japan (Umesue et al., 1996), Oman (Chand et al., 2000), China (Xiao et al., 2006) and Iran (Alvi and Assad, 2011) has found a high prevalence of DD (> 5%), but few, if any, ‘non-possession-form’ DID cases.

This situation may change with inclusion in DSM-5 (APA, 2013) of presentations characterised by pathological possession in the diagnostic criteria for DID: ‘Disruption of identity characterized by two or more distinct personality states, which may be described in some cultures as an experience of possession’ (p. 292). This diagnostic broadening will likely increase the validity of DID criteria cross-culturally, making the description of the disorder more consonant with cultural constructions of self that are interdependent and patterned by religious beliefs about spiritual beings. In such settings, pathological fragmentation of self is expressed in the idiom of external malicious forces that disrupt identity and consciousness. There is not, however, a strict dichotomy between Western and non-Western expressions, as a subgroup of patients with DID in North America and Turkey attribute the origin of at least some of their identities to spirit possession (Ross, 2011; Şar et al., 1996). Nor is pathological possession exclusively associated with DID. It represents instead a behavioural ‘final common pathway’ (Carr and Vitaliano, 1985) that is normative in many cultures (though not ‘normal’ when it causes distress) and can present as part of many disorders, not only DID (e.g. how depressive symptoms present in disorders beyond mood disorders). DSM-5 refers to the presentation of pathological possession in individuals with DID, but does not equate all pathological possession with this disorder.
Alongside cultural factors, data have consistently shown that DID is associated with traumatic and stressful experiences. Large-scale clinical and epidemiological studies in the USA, Australia, Turkey, Puerto Rico and Canada have found that DID is linked to antecedent severe, chronic abusive and traumatic experiences in childhood, typically at the hands of an attachment figure (e.g. Martinez-Taboas, 1991; Middleton and Butler, 1998; Ross et al. 1990b; Şar, 2011). Dalenberg et al. (2012) calculated Ross and Ness’ (2010) comparison of DID patients to controls, and found effect sizes of 0.74 to 0.78 for physical and sexual abuse. More severe and earlier-onset child abuse appears to differentiate DID from other disorders (Boon and Draijer, 1993b). By using corroborating documentation from hospital, police and child protection agencies or witnesses, several studies confirm histories of severe abuse in DID (Coons, 1994; Martinez-Taboas, 1991; Lewis et al., 1997). Studies exploring DID as a longitudinal outcome of confirmed child abuse are needed to examine further the abuse–DID link.

Every study that has systematically examined aetiology has found that antecedent severe, chronic childhood trauma is present in the histories of almost all individuals with DID. Yet the interplay between trauma and DID in non-Western countries (Asia, Africa, Arabia) has been understudied. Ugandan villagers with pathological possession had more psychoform and somatoform dissociation, and had suffered greater traumatic exposure, than randomly selected mentally healthy inhabitants from the same village (Van Duijl et al., 2010). However, research in Turkey suggests that milder presentations of DID are sometimes associated with trauma-tisation that is covert, such as severely dysfunctional communication and relationship styles in family members (Öztürk and Şar, 2005).

Understanding the aetiology of DID requires the amalgamation of several exposure, coping and developmental factors. These include traumatic experiences, family dynamics, child development, attachment (Kluft, 1993; Putnam, 2006; Ross, 1997) and the role culture plays in constructing ‘alternate’ selves (i.e. embodied representations of the metaphor of ‘a different person’ [or spiritual being]) with separate attributes and specific memories for trauma. DID develops when a child is exposed to chaos, coercion, and most commonly, overt severe physical and/or sexual abuse, often with dissociated attachment to caregivers. The child must also have the biological capacity to dissociate to an extreme level, leading to multiple states that do not become integrated over time. Such self-states allow the child to compartmentalise overwhelming and conflicting feelings of betrayal, terror, love and shame (Putnam, 2006; Van der Hart et al., 2006). Overwhelmed by intense conflicting needs and emotions, the child is unable to integrate discrete behavioural and emotional states into a coherent or relatively integrated self according to the appropriate socio-cultural construction/s of self (Putnam, 2006). In certain (e.g. mainstream Western) cultures, this process is consonant with a fragmentation of internal identities; in other (e.g. non-Western) cultures it may accord with external spiritual entities that take control of the individual’s consciousness and identity. In summary, existing data demonstrate that development of DID is probably due to a complex combination of traumatic experiences, dissociative processes, psychosocial mediators and socially constructed understandings of self.

Epidemiology of DID

The absence of DD modules in diagnostic interviews assessing general psychopathology (e.g. SCID, CIDI; First et al., 1997; World Health Organization, 1997), may account for the lack of DID data from large-scale community-based epidemiological studies (Andrews et al., 2001; Bijl et al., 1998). Measures such as the SCID-D and DDIS have been developed to assess the epidemiology of DID.

Clinical studies

Findings from consecutive samples of inpatients and outpatients in general psychiatric clinics in diverse countries vary by clinical setting, and to some extent geographic region. Two cross-sectional studies from North America found that 4.0–5.4% of psychiatric inpatients met DSM-IV criteria for DID (Ross et al., 1991; Saxe et al., 1993). In Turkey, the prevalence rate of DID is 5.4% among general psychiatric inpatients, 2.8% among substance dependent inpatients, and 2.0–2.5% among general psychiatric outpatients (Karadag et al., 2005; Şar et al., 2003; Tutkun et al., 1998). Inpatient rates are 2.0% in the Netherlands (Friedl and Draijer, 2000), 0.9% in Germany (Gast et al., 2001) and 0.4% in Switzerland (Modestin et al., 1996). The highest prevalence is seen in psychiatry emergency departments or outpatient units that receive emergency admissions. For example, cross-sectional rates were 14.0% in a university emergency department in Istanbul (Şar et al., 2007) and 6.0% in an outpatient psychiatric unit in New York City that included emergency admissions (Foote et al., 2006).

Marked variation in prevalence (0.4–14.0%) is probably due, at least in part, to methodological differences across studies and settings (Friedl et al., 2000). Research using the semi-structured SCID-D usually reports lower rates of DID than the fully structured DDIS. Since the SCID-D requires clinicians to judge which experiences are dissociative in nature, use of the SCID-D may lead to exclusion of more false positive cases than the DDIS. Other explanations for the variation may be cultural factors that influence both emergence of DID and interpretation of symptoms (Şar et al., 2013). For example, European studies report substantially lower rates of DID than Turkish or North American
studies. While each European country may be relatively homogenous in socio-cultural factors influencing identity formation, North America and Turkey may be characterised by more dramatic cultural diversity. Overall, cross-sectional prevalence of DID tends to increase with level of psychiatric severity, ranging from about 2% in outpatient clinics to about 5% in inpatient units, with even higher rates in emergency settings.

Community studies

Community-based epidemiological studies describe the full extent and distribution of the disorder in the population. This is because clinical epidemiology research is affected by local utilisation patterns for mental health services, as determined by accessibility factors and variations in the severity and impairment associated with the disorder (Fleming and Hsieh, 2002). Unfortunately, community-based research on DID is limited. One representative sample from Manitoba, Canada found a lifetime prevalence of 3.1% for DID using the DDIS and DSM-III-R criteria (Ross, 1991). A representative sample of women in Sivas City, Turkey (N = 648) had a lifetime prevalence of 1.1% using the DSM-IV version of the DDIS (Şar et al., 2007).

For practical reasons, proxy instruments may be used to estimate diagnostic rates. A community-based epidemiological study in New York State (N = 658) used the DES-Taxon items for initial screening. Four SCID-D items (two on dissociative amnesia and two on identity alteration) were then administered to approximate a DID diagnosis (Johnson et al., 2006). Results yielded a 12-month prevalence for DID of 1.5%. While the findings in Sivas City and New York State produced similar rates of DID, the prevalence in Manitoba was higher, due to utilisation of the DSM-III-R criteria, which did not list amnesia among diagnostic criteria of DID.

Epidemiological studies of DID have utilised DSM-III-R or DSM-IV diagnostic criteria. DSM-5 introduced specific forms of pathological possession into the DID criteria. A recent general population study of 628 Turkish women (Şar et al., 2014) found two of the 13 with an experience of possession had DID (seven women in the sample had DID). The diagnostic heterogeneity of the pathological possession experiences is consistent with the ‘final common pathway’ concept of possession in DSM-5. Yet most epidemiological studies do not distinguish possession trance that meet DID criteria from that which does not. In India, for example, the prevalence of trance and possession disorders was reported at 5.3% among inpatients and 11.5% among outpatients in a tertiary referral psychiatric hospital over a 10-year period (Chaturvedi et al., 2010). However, no diagnosis of DID was made. This is likely to change when DSM-5 criteria are used in future studies.

Psychobiological findings related to DID

Unique neurophysiological profile of DID

Although imaging studies have elucidated neurophysiological markers of the dissociative response in patients with a range of DD and PTSD, studies performed specifically in DID patients are more circumscribed. Different imaging techniques support three as yet unintegrated hypotheses. On the whole, single photon emission computerised tomography (SPECT) studies support an orbitofrontal hypothesis; magnetic resonance imaging (MRI), functional MRI (fMRI) and positron emission tomography (PET) studies support a cortico-limbic hypothesis; and EEG and QEEG studies support a temporal hypothesis for DID.

Forrest (2001) proposed a neurodevelopmental model for DID, underlining deficient functionality of the orbitofrontal region in the brain. The orbitofrontal lobe has been hypothesised to be affected by early trauma. Consistent with this orbitofrontal hypothesis, DID patients exhibited orbitofrontal hypoperfusion in comparison with normal controls in two SPECT studies (Şar et al., 2001, 2007) conducted in ‘host’ identities (i.e. identities predominantly engaging with the external world). Bilaterally increased perfusion in medial and superior frontal regions and occipital areas accompanied orbito-inferior frontal hypoperfusion in one of these studies (Şar et al., 2007). There was no difference in perfusion of any brain area between different identities (Şar et al., 2001).

With respect to the cortico-limbic hypothesis as originally formulated in the context of PTSD studies (Lanius et al., 2006), a structural MRI study established that DID patients have smaller hippocampi and amygdalae than normal controls (Vermetten et al., 2006). Ehling et al. (2007) also found reduced volumes in the parahippocampal gyrus of individuals with DID and strong correlations between reduction of parahippocampal volume and both psychoform and somatoform dissociation.

Moreover, significant functional brain imaging (PET and fMRI) differences have been found between (i) different identities in DID patients (Reinders et al., 2003, 2006; Schlumpf et al., 2013) and (ii) perfusion before versus perfusion during ‘switching’ between identities in a DID patient (Tsai et al., 1999). In the PET studies by Reinders et al. an ‘emotional’ dissociative identity (associated with trauma memories), when compared to an ‘apparently normal’ dissociative identity (numb and depersonalised from trauma memories), showed increased cerebral blood flow in the amygdala, insular cortex and somatosensory areas in the parietal cortex and the basal ganglia, as well as certain areas in the occipital and parietal cortex and anterior cingulate and frontal areas (Reinders et al., 2003, 2006). In a subsequent PET study, healthy controls simulating two identity states were unable to reproduce the same network patterns as DID patients (Reinders et al., 2012).
In the fMRI study by Tsai et al. (1999), bilateral hippocampal inhibition, right parahippocampal and medial temporal inhibition, and inhibition in small regions of the substantia nigra and globus pallidus were seen during the switch into another identity, as well as right hippocampal activation when the participant was returning to the original identity. The fMRI studies by Wolk and coworkers (Savoy et al., 2012; Wolk et al., 2012) demonstrate activation of the primary sensory and motor cortices, frontal and prefrontal regions and nucleus accumbens during switching in a DID patient. In summary, the switching process in DID is typified by activation and inhibition of a varying array of neurological areas and structures. The exact patterning of these may be related to the psychobiological characteristics of the dissociative identities involved.

Electrophysiological differences between identity states have also been found in a DID patient, who after 15 years of diagnosed cortical blindness gradually regained sight during psychotherapeutic treatment. Waldvogel et al. (2007) demonstrated absent visual evoked potentials (VEP) in the blind identity versus normal VEP in the seeing identity. As a neural basis of such psychogenic blindness, the authors assumed a top-down modulation of activity in the primary visual pathway, possibly at the level of the thalamus or the primary visual cortex.

The temporal hypothesis of DID is supported by conventional visual EEG studies (Coons et al., 1988; Mesulam, 1981) as well as some quantitative EEG (QEEG) studies. In the QEEG study by Lapointe et al. (2006), variability between identity states involved mostly beta activity in the frontal and temporal lobes. On the other hand, Cocker et al. (1994) reported increased frontal QEEG delta activity in the hypothetically-induced ‘baby’ identity in a patient with DID. A QEEG brain mapping study by Hughes et al. (1990) demonstrated left temporal and posterior-temporal-occipital changes in the theta and beta-2 frequency range in four of 11 identities in a DID patient. Further partial support for the temporal hypothesis comes from Hopper et al. (2002), who demonstrated that the average alpha coherence on QEEG was lower for ‘alter’ identities than for ‘host’ identities in five DID patients in some temporal, frontal, parietal and central regions.

The temporal hypothesis is also supported by some SPECT studies. Saxe et al. (1992) demonstrated increased activation in the left temporal lobe in four assessed identities of a DID patient. In Şar et al.’s (2001) SPECT study the ‘host’ identity showed increased perfusion in the left (dominant hemisphere) lateral temporal region compared to healthy controls. This lateralisation was not replicated in a follow-up study (Şar et al., 2007).

Imaging and neurophysiological studies have shown discrete brain areas of interest in understanding DID. No studies that failed to support any of these hypotheses were found, and it is not clear whether the three hypotheses are competing. The specific areas identified may reflect technical aspects of the specific methods. For example, notwithstanding the EEG’s excellent temporal resolution, it has limited spatial resolution, which might explain its lack of findings on the deeper brain structures and hence its non-contribution to the other two hypotheses.

Future empirical studies using combinations of imaging methodologies specifically in DID might shed light on the relationship between and a possible merging of the orbito-frontal, cortico-limbic and temporal hypotheses, as well as a possible amalgamation of these neurophysiological findings with the findings of cognitive psychological studies.

Cognitive correlates of DID

The cognitive study of DID is emerging from diagnostic, empirical and anecdotal evidence of memory, attention and information processing anomalies associated with the disorder (e.g. APA, 2013; Dorahy and Huntjens, 2007). Some scientific consideration has been given to attention and working memory processes in DID (Stringer and Cooley, 1994). Results are beginning to suggest a cognitive architecture supporting vigilance and bias for threat stimuli, the nature of which may vary depending on the psychological characteristics of the dissociative identity assessed (e.g. Dale et al., 2008; Dorahy et al., 2005; Hermans et al., 2006).

A limited number of studies have examined encoding and retrieval processes within dissociative identities. Case studies show some evidence of generalised childhood amnesia (Schacter et al., 1989) and that memory retrieval seemingly differs across identities (Bryant, 1995). After the incipient dissolution of amnesia, traumatic childhood memories may return initially as sensorimotor fragments (e.g. images, body sensations) rather than as a verbal narrative among adults with DID (Van der Hart et al., 2005). There are empirical suggestions that within-identity encoding and retrieval may differ for fear versus neutral stimuli, with fear stimuli less effectively encoded (Barlow, 2011). Retrieval appears to be better for ‘gist’ information than for specific details (Barlow, 2011). This suggests the yet-to-be assessed possibility that DID might be characterised by overgeneralised memory (non-specific retrieval) especially for fear narratives, as has been found in other disorders (e.g. depression, PTSD; see Moore and Zoellner, 2007).

The bulk of contemporary research on cognition in DID has focused on the specific nature of information compartmentalisation (i.e. the isolation of material within a dissociative identity) and transfer (i.e. the transmission of material across dissociative identities; Allen and Iacono, 2001; Dorahy, 2001b). This follows the lead of early investigations (Prince and Peterson, 1909) and is associated with the well-documented apparent amnesia between some dissociative identities for cognitive representations of experience. Research has largely focused on procedural, perceptual and non-autobiographical semantic and episodic information transfer (e.g. Eich et al., 1997; Nissen et al., 1988; Peters et al., 1998).
Increasing methodological sophistication addressing concerns with external and ecological validity is beginning to question some of the findings from previous work that showed evidence of compartmentalisation (especially for more complex information, such as stories that contained considerable contextual information). For example, in a reaction time study of nine DID patients, Huntjens et al. (2012) found evidence of semantic autobiographical transfer across dissociative identities, despite participants reporting amnesia between identities. Participants provided answers to autobiographical semantic questions (e.g. names of siblings) in two amnestic identities. Two weeks later, participants were presented word lists interspersed with autobiographical but irrelevant words and previously learned target words. They were required to rapidly identify target words. It was hypothesised that the reaction time for autobiographically-salient words would be slower than that for non-autobiographical words (including the autobiographically-salient words of the other identity). However, the DID sample showed the same slower response to words in both identities’ word lists, suggesting that semantic autobiographical information is not compartmentalised despite being experienced as such. The pattern of findings was not replicated in controls or individuals simulating DID.

These findings, as well as other studies (e.g. Huntjens et al., 2003; Kong et al., 2008), challenge complete compartmentalisation of information. In short, research indicates that dissociative amnesia operates at a metacognitive level, such that the person subjectively experiences alterations in memory retrieval between identities that are not verified in the laboratory (i.e. the person believes they are unaware of the information, perhaps due to major alterations in conscious faculties, despite laboratory evidence to the contrary).

Thus amnesia in DID appears to operate in the same (metacognitive) way as many symptoms in other disorders (e.g. perceived fatness in anorexia, perceived danger or amnesia in PTSD, perceived catastrophe in panic disorder). In terms of phenomenology as well as aetiology, amnesia in DID can be likened to conversion symptoms. Both amnesia and conversion in DID are functional neurological symptoms. Similarly, sensorimotor functions vary between identities in DID without structural neurological pathology, yet functional neurological variations are found (Bhuvaneswar and Spiegel, 2013).

Future research should explore the nature and mechanisms of amnesia, as well as elucidating the nature of attentional processes in DID. Emotionally-charged episodic autobiographical memory transfer across functionally amnesic identities likewise merits thorough investigation.

**Treatment of DID**

DID treatment outcome has been systematically studied for three decades via case studies, case series, cost-efficacy studies, and naturalistic outcome studies with follow-ups as long as 10 years (e.g. Coons and Bowman, 2001; Coons and Sterne, 1986; Klutf, 1984). Research indicates that therapy utilising a phasic trauma treatment model consistent with expert consensus guidelines is beneficial to DID individuals (Brand et al., 2009c; International Society for the Study of Trauma and Dissociation [ISSTD], 2011). A meta-analysis of eight non-controlled DD studies found pre/post within-participant effect sizes in the medium-to-large range for outcomes including improved dissociation, anxiety, distress and depression (Brand et al., 2009c). Treatment was associated with reductions in diagnoses of comorbid axis I and II disorders, suicidality and substance abuse; improvements were maintained at two-year follow-up (Brand et al., 2009c; Ellason and Ross, 1997).

The phasic model of DID treatment involves patients working towards establishing safety and stability in Stage 1. Some DID patients may lack interest in, and/or the psychological or practical resources for, moving beyond Stage 1. In Stage 2, the focus is on maintaining stability while exploring trauma narratives and resolving trauma-related emotions, beliefs and behaviours. In Stage 3, the treatment emphasises integration of identities and living without reliance on dissociation. A survey of international DID experts coalesced in recommending interventions to be used with DID patients across the stages of treatment (Brand et al., 2012). Stabilising safety and containment of traumatic material were highly endorsed in all but the last stage of treatment. Core interventions recommended at every stage of treatment included: providing psychoeducation; increasing awareness and tolerance of emotion; developing impulse control; fostering grounding skills to manage dissociation; nurturing the therapeutic alliance; and managing stressors, current relationships and daily functioning. The consistency between these experts’ recommendations, those described in the ISSTD Treatment Guidelines (2011), and the interventions documented in the Treatment of Patients with Dissociative Disorders (TOP DD) study (Brand et al., 2009b) suggest that a standard of care for the treatment of DID is emerging. Detailed discussions of DID treatment are also available (e.g. Boon et al., 2011; Chu, 2011; Howell, 2011; ISSTD, 2011).

Case studies have yielded critical insights into the treatment of DID (Klutf, 1984). One of the most rigorous – a single case experimental design – demonstrated that cognitive analytic treatment resulted in statistically and clinically significant improvements that remained stable or continued to increase over 6 months of follow-up for a woman with DID (Kellett, 2005). The patient also showed sudden improvements following targeted interventions, indicating that the treatment was central to the improvements.

The longitudinal, international TOP DD study is providing new understanding of DID treatment. The TOP DD study prospectively assessed treatment response from 230 DID patients and their therapists from 19 countries, across
four data collection points over 30 months (Brand et al., 2009c, 2013). Over time, patients showed statistically significant reductions in dissociation, PTSD, distress, depression, hospitalisations, suicide attempts, self-harm, dangerous behaviours, drug use and physical pain, as well as higher Global Assessment of Functioning scores (Brand et al., 2013).

Even participants with the highest levels of dissociation and the most severe depression showed improvement over time (Engelberg and Brand, 2012; Stadnik and Brand, 2013). Younger patients stabilised self-destructive and suicidal behaviours more rapidly than older patients, suggesting the importance of early diagnosis and treatment (Myrick et al., 2012). Rates of revictimisation showed a trend towards reduction, and more patients showed ‘sudden improvement’ than ‘sudden worsening’ (i.e. 20% decrease or increase in symptoms, respectively; Myrick et al., 2013). Those who suddenly improved had fewer revictimisations and stressors compared to those who worsened. Only 1.1% of patients showed worsening over more than one data collection point, a rate that compares favourably to the 5–10% of general patients who show worsening symptoms during treatment (Hansen et al., 2002). The consistency of statistical improvement across a range of symptoms and adaptive functioning strongly suggests that treatment contributed to improvements.

It is important to consider health costs associated with DID. A Canadian treatment study of DID concluded that annual costs dropped from C$75,000 to C$36,000 in the 3 years after treatment for DID (Ross and Dua, 1993). This and other studies document considerable cost savings even for those who had been chronically ill before being appropriately treated for DID (Lloyd, 2011).

In summary, research indicates that DID treatment consistent with the standard of care outlined in the expert guidelines for this disorder is associated with improvements in functioning and reduction of a wide range of symptoms. Although randomised trials are difficult to conduct with DID patients due to their symptom complexity and high suicidality, current evidence suggests that DID treatment accounts for documented improvements. Studies using systematic treatment with blind assessments are critically needed to identify how to treat these patients most effectively. Trials could be developed that compare individual treatment alone to individual treatment plus manualised DID group therapy or web-based psychoeducational interventions.

Conclusion

The empirical literature on DID emerging over the past 30 years shows that, beyond the rhetoric and controversy, DID is a valid disorder characterised by amnesia, identity confusion and coexistence of dissociative identities which can be differentiated from other psychiatric disorders as well as from feigned presentations of DID. Characteristic features include a complex array of co-existing symptoms associated with psychosis, mood, anxiety, affect regulation and personality functioning. A mix of subtle and overt developmental, interpersonal and cultural drivers produce DID, with childhood attachment-based trauma appearing to be a universal factor, while social idioms of self produce components of cultural specificity. DID is found around the globe in almost every culture in which researchers have carefully assessed for the range of dissociative symptoms.

Orbitofrontal, cortico-limbic and temporal anomalies are evident in DID, with different neurobiological profiles found across identities than those in simulation. Cognitive functioning, while varying across identities, appears to support biases in threat detection and management. Reported amnesia between identities may be produced by metacognitive processes, but studies are yet to assess transfer of autobiographical episodic memories for traumatic events. Despite the complexity of DID at neurobiological, cognitive, relational and symptomatic levels, assessment and treatment consistent with the expert consensus guidelines for this disorder (ISSTD, 2011) have produced consistently positive results. The challenge of randomised, well-controlled intervention protocols awaits empirical investigation.

While empirical research on DID accumulates, a diverse collection of challenges impact the development of studies and acceptance of their findings and implications. At issue are not only matters of ‘science’, but the psychological and social challenges of assimilating and responding to what science comprises. A convergence of contextual issues relates as much to challenges to existing paradigms as to the principles of scientific inquiry. DID questions the concept of self as an autonomous and integrated entity, and thus challenges understanding of the nature of scientific inquiry itself. However, it is clear that research on DID is proceeding and advancing, providing fascinating insights into the power of the mind to cope with developmental trauma and severe attachment disruptions in the cultural contexts in which they occur.

Funding

This research received no specific grant from any funding agency in the public, commercial, or not-for-profit sectors.

Declaration of interest

The authors report no conflicts of interest. The authors alone are responsible for the content and writing of the paper.

Notes

1. Some studies on DID and dissociative disorder not otherwise specified (DDNOS) – type 1, a condition closely resembling DID, were included.
2. Identity confusion is the subjective sense of conflict or uncertainty about one’s identity due to non-integrated or fragmented self-states; identity alteration refers to the objective
behaviours that are observable manifestations of different identities; *amnesia* is an inability to recall autobiographical information (Steinberg, 1994a).

3. DSM-5 defines depersonalisation as ‘experiences of unreality or detachment from one’s self’ and derealisation as ‘experiences of unreality or detachment from one’s surroundings’ (p. 291).

4. MMPI-2 Booklet of Abbreviated Items. Copyright © 2005 by the Regents of the University of Minnesota. All rights reserved. Used by permission of the University of Minnesota Press. ‘MMPI’ and ‘Minnesota Multiphasic Personality Inventory’ are registered trademarks owned by the Regents of the University of Minnesota.

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